

Invasion risk posed by macroinvertebrates transported in ships' ballast tanks

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Abstract Invasions by non-indigenous macroinvertebrates often cause ecological and economic problems, and commercial ships have been implicated as a principal mechanism for their dispersal. We explored the presence and species diversity of adult macroinvertebrates transported by transoceanic and coastal vessels arriving to ports on the Atlantic coast of Canada. We sampled 67 ballast tanks from 62 ships operating along discrete geographic pathways and tested whether mid-ocean exchange or voyage length affects the probability for translocation of macroinvertebrates. Additionally, we assessed the relationship between macroinvertebrate presence and the amount of sediment in ballast tanks. We document the presence of highly invasive European green crab (*Carcinus maenas*), mud crab (*Rhithropanopeus harrisi*), common periwinkle (*Littorina littorea*), soft shell clam (*Mya arenaria*) and blue mussel (*Mytilus galloprovincialis*) in ballast tanks of surveyed ships. Mid-ocean exchange did not affect macroinvertebrate occurrence, suggesting

that current ballast water management regulations are ineffective for this taxonomic group. Viable individuals were recorded in vessels undertaking shorter voyages (average and maximum of 4.5 and 15 days, respectively) and presence was not related to the amount of sediment in tanks. While presence and densities of macroinvertebrates were low, invasion risk may nonetheless be significant during reproductive seasons owing to high fecundity of some taxa. The highest risk may be posed by decapods since gravid females may carry thousands to several million eggs per clutch, and after several weeks of brooding, two or more subsequent clutches may be fertilized by retained sperm from an earlier mating.

Keywords Ballast tanks · Crab · High fecundity · Mollusc · Non-indigenous species · Propagule pressure

Introduction

Human activities unintentionally translocate many aquatic species of which only a small percentage establish populations in new environments (e.g. Kolar and Lodge 2001; Colautti and MacIsaac 2004; Karatayev et al. 2009). In a recent review, Karatayev et al. (2009) showed that freshwater macroinvertebrate invaders are not a random selection of species, but rather are over-represented by molluscs and crustaceans.

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Introduced molluscs can alter benthic community dynamics by out-competing native species (Branch and Steffani 2004; Ward and Ricciardi 2007), while crustaceans may adversely affect bivalves, molluscs and other crustaceans through predation, competition, and burrowing activities (e.g. Grosholz and Ruiz 1996). Furthermore, molluscs and crustaceans can cause major economic losses (Pimentel et al. 2005; Colautti et al. 2006a); for example, losses in Canada due to zebra (*Dreissena polymorpha*) and quagga mussels (*Dreissena bugensis rostriformis*) alone are estimated at more than \$8 million CDN per year (Colautti et al. 2006a). Several molluscs and crustaceans have been listed in the Global Invasive Species Database of “100 of the World’s Worst Invasive Alien Species” (ISSG 2011).

Macroinvertebrates may be transported by numerous vectors (Ricciardi 2006; Molnar et al. 2008), however ships have been implicated as a leading mechanism for aquatic non-indigenous species (NIS) introductions worldwide (Ricciardi 2006; Molnar et al. 2008; Hulme 2009). A diverse array of species are transported in ballast tanks or attached to external surfaces of ships’ hulls (Humphrey 2008; Klein et al. 2010; Briski et al. 2010; Sylvester et al. 2011), and numerous studies report presence of unidentified bivalve and/or decapod larvae in ballast water. Thus, shipping may be a potent vector for dispersal of species including European green crab (*Carcinus maenas*), mud crab (*Rhithropanopeus harrisi*), and soft shell clam (*Mya arenaria*) (Carlton 1985; Duggan et al. 2006; Humphrey 2008; Darling et al. 2008; Simard et al. 2011). To our knowledge, no study has yet confirmed records of these species from ballast tanks, either as larvae or benthic adults.

Ballast water can contain significant amounts of suspended sediment that later settle to the tank bottom (Carlton 1985; Gollasch and Leppäkoski 1999) and provide suitable habitat for benthic organisms (Briski et al. 2010, 2011a). Invertebrate dormant stages are not influenced by voyage length, but survival of active invertebrates and diatoms is reduced by longer voyages (Reid et al. 2007; Humphrey 2008; Klein et al. 2010). Ballast water management regulations enacted by Canada, including requirements for mid-ocean exchange (MOE) and salt-water flushing are primarily aimed at reducing invasion risk of entrained microplankton and have not been evaluated heretofore for their efficacy against benthic macroinvertebrates (Government of Canada 2006).

Females of some aquatic macroinvertebrates—including molluscs and decapods—are capable of prolific egg production, with output ranging from thousands to millions of eggs per reproductive event (Stickney 1963; Hughes and Roberts 1980; Creaser and Clifford 1982; Morgan et al. 1983; Shields and Okazaki 1991; Grosholz and Ruiz 2002; Samuel and Soundarapandian 2010). Invasion theory identifies a close linkage between the number of propagules released in a new location and the success of an invader (Colautti et al. 2006b; Lockwood et al. 2009), thus the introduction of one pair of spawning molluscs or one gravid female decapod could pose a surprisingly high invasion risk. Further, decapod females can oviposit several masses (i.e. clutches) of fertilized eggs over several months after a single mating (Morgan et al. 1983; Shields and Okazaki 1991), complicating measures of propagule pressure and invasion risk.

Here, we report on the presence and species diversity of macroinvertebrates sampled from transoceanic and coastal ships arriving to the Atlantic coast of Canada. We sampled 67 ballast tanks of ships on particular geographic pathways to test three hypotheses: (1) invasion risk posed by macroinvertebrates from ships performing MOE and those exempt from MOE is equal; (2) the length of voyage does not affect macroinvertebrate survival inside tanks; and (3) the amount of sediment in tanks does not affect survival of macroinvertebrates in tanks.

Methods

As part of a larger study (see Briski et al. 2011a), we sampled ships following three distinct geographic pathways to Canadian ports: transoceanic ships performing MOE (TOE), coastal ships performing MOE (CE), and coastal ships without MOE (CNE) (Fig. 1). TOE ships were defined as ships arriving from any continent except North America. CE ships arrived from ports in the USA south of Cape Cod, Massachusetts. CNE ships arrived from American or Canadian ports north of Cape Cod. Ships were sampled opportunistically on arrival to Sept-Îles, Baie-Comeau and Port-Cartier in Quebec, Saint John in New Brunswick, and Halifax, Hantsport, Canso, Port Hawkesbury, Liverpool, Point Tupper and Sheet Harbour in Nova Scotia. In total, we collected samples



Fig. 1 Schematic representation of pathways utilized by ships arriving to the Atlantic region of Canada. *Dotted line* represents transoceanic ships with mid-ocean exchange (TOE), *dashed line* represents coastal ships with mid-ocean exchange (CE) and *solid line* represents coastal ships exempt from mid-ocean exchange (CNE). Vessels arriving from ports north of Cape Cod, Massachusetts (MA) are exempt from mid-ocean exchange requirements, if destined for specific Canadian ports on the Atlantic coast (Government of Canada 2006)

from 25 TOE, 21 CE and 21 CNE ships. Data contained in ballast water reporting forms, including previous dates and locations of ballast uptake and discharge, and last port-of-call, were used to determine ships' pathways and voyage length.

Tanks were entered immediately after deballasting occurred at Canadian ports, and all compartments of the tank were visually inspected for presence of larger macroinvertebrates such as cnidarians, decapods, gastropods, and bivalves, which were collected when found. Additionally, approximately 6 kg of sediment was collected from multiple, randomly-selected areas inside the ballast tank to check for smaller, buried taxa. As sediment tended to accumulate peripherally in tanks with only a thin layer spread across the tank bottom (mean and maximum depth of 3 mm and 25 cm, respectively), we were usually able to collect sediment from a range of depths. Sediment depth and percent cover inside ballast tanks were recorded and architectural diagrams of ships' tanks reviewed to estimate the amount of sediment carried per tank. Upon return to the laboratory, sediments were processed using a 500 μm sieve and sieve contents examined under a dissecting microscope. Macroinvertebrates were isolated and stored in 95% ethanol until later identification. Taxa smaller than 500 μm ,

mostly dormant stages of zooplankton, are reported in Briski et al. (2011a). Identification of animals was conducted using molecular methods as well as traditional morphological taxonomy; taxonomic experts were consulted when morphological identifications were uncertain. In addition, we measured carapace width (for crabs) or the length of the widest axis (other macroinvertebrates) for each individual.

One small piece of tissue was dissected from every individual and used for molecular identification. DNA was extracted following Elphinstone et al. (2003). Fragments of the mitochondrial genes COI and 16S were amplified using the universal COI primers LCO1490 and HCO2190 (Folmer et al. 1994), and 16S primers S1 and S2 (Palumbi 1996). PCR reactions and sequencing protocol followed Briski et al. (2011b). Recovered DNA sequences were blasted against those in the GenBank database (<http://blast.ncbi.nlm.nih.gov/Blast.cgi>) using the nucleotide blast (default parameters). In addition, COI sequences were compared to those in the Barcode of Life Database (<http://www.barcodinglife.org>), using the identification engine BOLD-IDS, with the option 'All Barcode Records on BOLD'.

Statistical analyses

We determined whether macroinvertebrate presence in ballast tanks was influenced by MOE using Pearson Chi-square analysis (SPSS version 11.5.0; SPSS). Separate logistic regression analyses were used to determine if the occurrence of macroinvertebrates was related to the length of the ships' voyage or the amount of sediment contained in ballast tanks (SPSS version 11.5.0; SPSS). All three ship pathways (i.e. TOE, CE and CNE) were pooled for the above mentioned logistic analyses, as Pearson Chi-square analysis showed no effect of MOE on the occurrence of macroinvertebrates (see "Results" section).

Results

Sixty-seven ballast tanks (62 ships) were sampled between May 2007 and August 2009. The average voyage length and amount of sediment in tanks of sampled ships were 8.94 days and 1.44 m^3 , respectively (Table 1). Voyage length differed among ship pathways, being 14.08, 7.28, and 4.47 days, for TOE,

Table 1 Voyage length and amount of sediment per tank for all ships sampled in the study and for ships containing macroinvertebrates

	All ships						Ships containing macroinvertebrates					
	Number of samples	Mean	SE	Min	Max	Range	Number of samples	Mean	SE	Min	Max	Range
Voyage length (day)												
Total	67	8.94	0.83	1	44	43	7	4.57	1.79	2	15	13
TOE	25	14.08	1.38	6	44	38	1	15.00	N/A	N/A	N/A	N/A
CE	21	7.28	1.09	2	23	21	2	4.50	0.50	4	5	1
CNE	21	4.47	0.85	1	14	13	4	2.00	0.00	2	2	0
Sediment (m ³)												
Total	61	1.44	0.39	0.00	16.80	16.79	7	0.52	0.44	0.01	3.19	3.18
TOE	20	2.05	0.64	0.01	11.25	11.24	1	0.01	N/A	N/A	N/A	N/A
CE	20	2.25	0.95	0.00	16.80	16.80	2	1.59	1.58	0.01	3.19	3.18
CNE	21	0.08	0.01	0.00	0.26	0.26	4	0.12	0.03	0.04	0.18	0.14

Statistics are shown for all pathways (total), transoceanic ships which conducted mid-ocean exchange (TOE), coastal ships which conducted mid-ocean exchange (CE), and coastal ships exempt from mid-ocean exchange (CNE)

CE and CNE ships, respectively (Table 1). Macroinvertebrates were found in seven tanks (10.4%) with an average density of 2.8 individuals per tank when present, and no more than 3 individuals per species (Table 2). We were unable to detect any pattern with respect to where macroinvertebrates were found in

tanks. The average voyage length and amount of sediment in tanks of ships containing macroinvertebrates were 4.57 days and 0.52 m³, respectively (Table 1). Macroinvertebrates were found in ships from all three pathways (Tables 1, 2), but more than 50% of records were from tanks of CNE ships

Table 2 Macroinvertebrate taxa (with abundance and size) sampled from ballast tanks of ships arriving to the Atlantic coast of Canada, by vessel

Ship ID	Ship pathway	Voyage length (days)	Sediment per tank (m ³)	Taxon	Number per tank	Size (mm)
56	CE	5	0.0078	<i>Rhithropanopeus harrisi</i>	1	20
				<i>Platynereis</i> sp.	3	36, 42, 47
				<i>Parahyotissa numisma</i> *	2	21
				<i>Mya arenaria</i>	1	11
59	CNE	2	0.0405	<i>Carcinus maenas</i> *	1	36
				<i>Littorina littorea</i> *	2	22
				Actinaria, unidentified	1	21
65	CNE	2	0.081	<i>Neanthes virens</i>	2	78
				<i>Parahyotissa numisma</i> *	1	19
				<i>Mytilus galloprovincialis</i> *	1	22
				<i>Platynereis</i> sp.	2	36, 41
75	CE	4	3.186	<i>Platynereis</i> sp.	2	36, 41
77	TOE	15	0.0157	<i>Mya arenaria</i>	1	10
78	CNE	2	0.18	<i>Mya arenaria</i>	1	12
80	CNE	2	0.18	<i>Carcinus maenas</i> *	1	39
				<i>Mya arenaria</i>	1	11

Ship particulars (pathway, voyage length and sediment amount) are included where CE coastal ships which conducted mid-ocean exchange CNE coastal ships exempt from mid-ocean exchange and TOE transoceanic ships which conducted mid-ocean exchange. Non-indigenous species are shown in bold; those able to tolerate ambient salinity in the recipient port are indicated (*)

(Table 2). Occurrence of macroinvertebrates in ballast tanks was not affected by MOE ($\chi^2 = 2.31$, $P = 0.12$), though logistic regression analysis revealed that voyage length was an important factor ($\chi^2 = 5.15$, $P = 0.02$). Even though the amount of sediment in tanks containing macroinvertebrates was lower than that where macroinvertebrates were not recorded (0.52 vs. 1.44 m³, respectively; Table 1), the relationship between amount of sediment and presence in tanks was not significant ($\chi^2 = 0.72$, $P = 0.28$).

We identified nine taxa belonging to five taxonomic groups (Table 2). One crab (European green crab *C. maenas*), one gastropod (common periwinkle *Littorina littorea*) and two bivalves (*Parahyotissa numisma* and *Mytilus galloprovincialis*) recorded are considered non-native to the Atlantic coast of Canada (Table 2). While *P. numisma* is considered a tropical/subtropical species not likely to survive in Atlantic Canada, and *C. maenas* is already established in the region, *L. littorea* and *M. galloprovincialis* have not yet been reported from the region. A gravid female mud crab (*R. harrisi*) was found in a coastal ship that had conducted MOE (Fig. 2; Table 2). This species is native to Atlantic Canada (A Locke, Fisheries and

Oceans Canada, personal communication), but is an established NIS in many locations in Europe and western North America. The native bivalve *M. arenaria* was the only species recorded from the TOE pathway, from a ship with voyage length of 15 days (Table 2).

Discussion

To our knowledge, this study provides the first evidence for transport of highly invasive macroinvertebrates including European green crab (*C. maenas*), mud crab (*R. harrisi*), common periwinkle (*L. littorea*), soft shell clam (*M. arenaria*) and blue mussel (*M. galloprovincialis*) in ships' ballast tanks (see also Briski et al. 2011a). Furthermore, ships' ballast appears to be an active vector for transport of adult macroinvertebrates to Canadian waters despite requirements for ballast water management by MOE. While *C. maenas* is already established in some areas of Atlantic Canada, its discovery in ships' ballast tanks poses concern for further inter-regional spread and possible introduction of individuals with novel

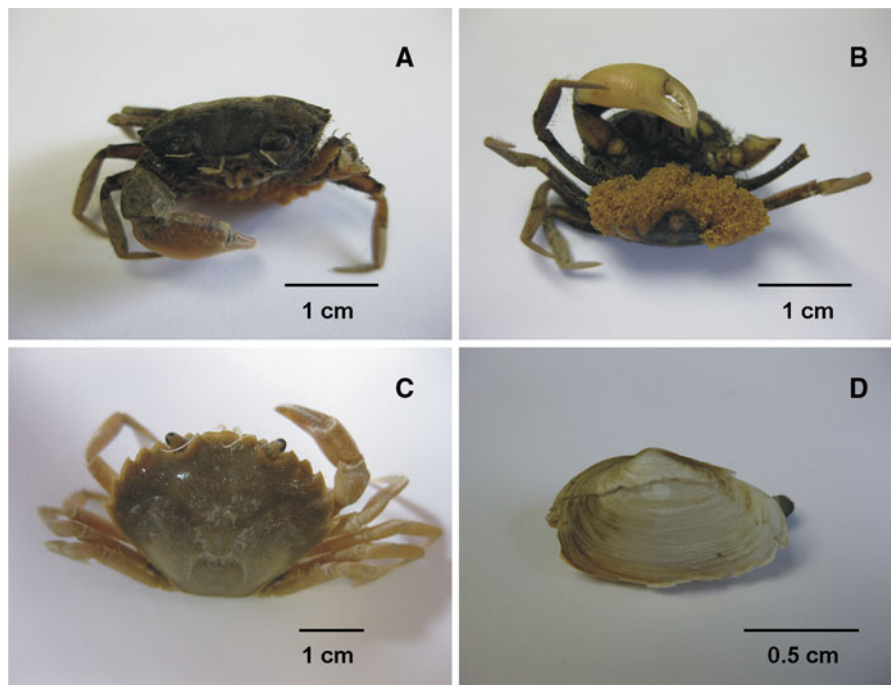


Fig. 2 Images of mud crab *Rhithropanopeus harrisi* (a), female mud crab carrying eggs (b), European green crab *Carcinus maenas* (c) and soft-shell clam *Mya arenaria* (d) found in ballast tanks of ships

genotypes that may be better adapted to the local environment, potentially enhancing further spread and/or negative impacts (Roman 2006; Simberloff 2009; Rossong et al. 2011). In addition to macroinvertebrates themselves, these species could potentially introduce ‘fellow traveller’ parasites (Torchin et al. 2001) or disease organisms (Payen and Bonami 1979); *R. harrisii*, for example, commonly carry strains of the white spot baculovirus (Payen and Bonami 1979).

The discovery of a live, gravid female *R. harrisii* in a ballast tank is particularly troubling discovery. This species may produce between 1,000 and 4,000 eggs per clutch, and females are able to release fertilized egg clutches up to four separate times following a single mating (Morgan et al. 1983). Thus, the propagule pressure exerted by such a female could approach that reported for juvenile stages previously (~ 30 individuals per m^3 of unidentified decapod larvae in ballast water of coastal ships arriving to the Atlantic coast of Canada; Humphrey (2008)). *R. harrisii* is native to Atlantic estuarine waters and larval development may take place under a broad range of environmental conditions, including salinities ranging from 2.5 to 40 ppt (Costlow et al. 1966); the collection of a viable individual after MOE and exposure to marine water above 30 ppt salinity highlights the tremendous salinity tolerance of this species. Reports of adults, zoeae and small juveniles of the mud crab in freshwater reservoirs in Texas (Howells 2001) indicate that the species could pose an invasion risk to the Laurentian Great Lakes if introduced. Several euryhaline species have already established in the Great Lakes, including the amphipod *Gammarus tigrinus*, which is also native to estuaries of the northwest Atlantic Ocean (Ricciardi and MacIsaac 2000; Kelly et al. 2006).

It is not known if adult macroinvertebrates can be entrained into, or discharged from, ballast tanks in a viable condition. It might be possible for smaller individuals to pass through the ballast pipes and pumping system intact, particularly if sea chest screens and strainers are damaged. At any rate, hatched larvae of *R. harrisii* remain free-swimming from 7 to 35 days depending on salinity and temperature (Goncalves et al. 1995), allowing easy ingress to or egress from ballast tanks. Although this study

indicates that macroinvertebrates are transported in only 10% of ships and only at low density, invasion risk could be enhanced during reproductive seasons and/or when environmental conditions are conducive to survival inside ballast tanks. Many molluscs and decapods possess r-selected reproductive strategies, which are characterized by production of numerous offspring. These taxa may be best suited to overcoming environmental and demographic stochasticity associated with the invasion process, and rapidly achieving densities amenable to successful colonization in new habitats (McMahon 2002). The highest risk may be posed by decapods not requiring simultaneous presence of both sexes inside tanks to produce free-swimming larvae (Morgan et al. 1983; Samuel and Soundarapandian 2010). In contrast, bivalves require both sexes for reproduction, and spawning in the often hostile conditions inside ballast tanks may be problematic.

Considering that macroinvertebrates were found only in ships completing shorter voyages (average of 4.5 days), environmental conditions in ballast tanks may be an important determinant of macroinvertebrate survival. Reid et al. (2007) and Klein et al. (2010) measured rapid declines in dissolved oxygen concentration inside ballast tanks to 2 mg/L within five to 7 days, with 90% of initial oxygen content lost within 10 days at temperatures above 20°C. As a result, environmental conditions in ballast tanks of ships on long, transoceanic voyages may inhibit survival. In contrast, environmental conditions may not be limiting in tanks of coastal vessels undertaking short voyages, during which ballasting activities (loading, discharging and/or conducting MOE) may occur every few days. Carver and Mallet (2004) showed that the infusion of nutrients and oxygen into tanks can enhance the total number of plankton species surviving at the end of voyage. While this may indicate macroinvertebrate transfers will be limited mainly to short distance dispersal, we caution that our study was conducted during warmer parts of the year when temperatures were always above 10°C and usually above 20°C. Reid et al. (2007) observed no decline in dissolved oxygen concentration in ballast water during a voyage in December at temperatures ranging between 3 and 5°C, thus the possibility exists for enhanced intercontinental survival during cooler seasons.

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